

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date
Considered

3/22/2009

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

2

of

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Application Number

09,721,398

Filing Date

11/22/2000

First Named Inventor

England et al.

Group Art Unit

2175

Examiner Name

Betit, J.

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DEC 22 2003

Attorney Docket Number

MS1-654US Technology Center 2100

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
QB		"Facing an Internet Security Minefield, Microsoft Hardens NT Server Defenses", Young R., Windows Watcher, 09/12/97, Vol. 7, Issue 9, p1, 6p, 1 chart.	
QB		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", July 12, 2001, Business Wire, Courtesy of Dialog Text Search, Pg. 1-2.	
QB		Murphy et al., "Preventing Piracy: Authorization Software May Ease Hollywood's Fear of the Net", Internet World Magazine, April 1, 2000, 3 pages.	
QB		"Internet Security: SanDisk Products and New Microsoft Technology Provide Copy Protected Music for Internet Music Player Market. (Product Announcement)", Edge: Work Group Computing Report, April 19, 1999, 2 pages.	
QB		Arbaugh et al., "A Secure and Reliable Bootstrap Architecture", Distributed Systems Laboratory, Philadelphia, PA, 1997, pp. 65-71.	
QB		Lampson et al., "Authentication in Distributed Systems: Theory and Practice", Digital Equipment Corporation, ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, pp. 265-310.	
QB		Clark et al., "Bits: A Smartcard Protected Operating System", Communications of the ACM, Vol. 37, No. 11, November 1994, pp. 66-70, 94.	
QB		Yee, "Using Secure Coprocessors", School of Computer Science, Carnegie Mellon University, 1994, 104 pgs.	
QB		Abadi et al., "Authentication and Delegation with Smart-cards", July 30, 1992, 30 pgs.	
QB		Schneier, B., "Applied Cryptography", Applied Cryptography. Protocols, Algorithms, and Source Code in C, 1996, pp. 574-577.	
QB		"Secure Management Information Exchange", Bhatti et al., Journal of Network and Systems Management, Vol. 4, No. 3, 1996, pages 251-277.	

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3/22/2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

of 3

Application Number	09/721,398
Filing Date	Nov 22, 2000
First Named Inventor	England et al.
Group Art Unit	2175
Examiner Name	Betit, J.
Attorney Docket Number	MS1-654US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
93		"Security Enhancement for the "Simple Authentication Key Agreement Algorithm" ", Lin et al., IEEE 2000, pages 113-115.	
93		"Techniques for secure execution of mobile code: a review", J.M. Mas-Ribes and Benoit Macq, Ann. Telecommun., 55, n 7-8, 2000, pages 1-9.	
93		"Security Agility for Dynamic Execution Environments", Petkac et al., IEEE 1999, pages 377-390.	

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Method and System for Allowing Code To Be Securely Initialized in a Computer				
Application Number:	09/721398				
Confirmation Number:	2720				
First Named Applicant:	Paul England				
Attorney Docket Number:					
Art Unit:	2175				
Examiner:	Jacob Betit				
Search string:	(6073124 or 6092189 or 6105137 or 6112181 or 6118873 or 6138119 or 6148402 or 6148387 or 6157721 or 6175917 or 6185683 or 6185678 or 6189100 or 6192473 or 6212636 or 6223284 or 6229894 or 6230285 or 6237786 or 6240185 or 6253193 or 6263431 or 6272629 or 6292569 or 6327652 or 6330588 or 6338139 or 6341373 or 6363486 or 6363488 or 6367012 or 6381741 or 6389402 or 6389537 or 6427140 or 6449367 or 6477252 or 6477648 or 6480961 or 6560706 or 5787427 or 20010025281 or 20020007452 or or 20020069395 or 20020107803 or 20020120936 or 20020152173).pn.				
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US Patent Documents					
Note: Applicant is not required to submit a paper copy of cited US Patent Documents					
init	Cite.No.	Patent No.	Date	Patentee	Kind
1	1	6073124	2000-06-06	Krishnan et al.	
2	2	6092189	2000-07-18	Fisher et al.	
3	3	6105137	2000-08-15	Graunke et al.	
4	4	6112181	2000-08-29	Shear et al.	
5	5	6118873	2000-09-12	Lotspeich et al.	
6	6	6138119	2000-10-24	Hall et al.	
7	7	6148402	2000-11-14	Campbell	
8	8	6148387	2000-11-14	Galasso et al.	
9	9	6157721	2000-12-05	Shear et al.	

APP ID=09721398

page 1 of 3

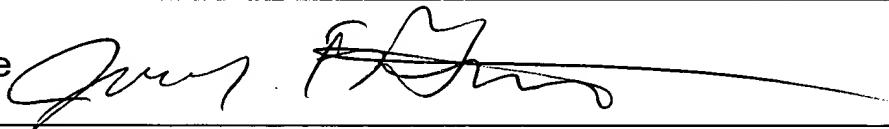
10	6175917	2001-01-16	Arrow et al.
11	6185683	2001-02-06	Ginter et al.
12	6185678	2001-02-06	Arbaugh et al.
13	6189100	2001-02-13	Barr et al.
14	6192473	2001-02-20	Ryan, Jr. et al.
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16	6223284	2001-04-24	Novoa et al.
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US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
1	1	20010025281	2001-09-27	Hirayama			
2	2	20020007452	2002-01-17	Traw et al.			
3	3	20020069395	2002-06-06	Howard et al.			
4	4	20020107803	2002-08-08	Lisanke et al.			
5	5	20020120936	2002-08-29	Del Beccaro et al.			
6	6	20020152173	2002-10-17	Rudd			

Signature



Examiner Name	Date
Jacob F. Betz	25 Feb 2004



#7

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Method and System for Allowing Code To Be Securely Initialized in a Computer
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Application Number: 09/721398



Confirmation Number: 2720

First Named Applicant: Paul England

Attorney Docket Number:

Art Unit: 2175

Examiner: Jacob Betit

Search string: (4827508 or 4969189 or 4977594 or 5023907
or 5050213 or 5140634 or 5276311 or 5335334
or 5410598 or 5473690 or 5473692 or 5491827
or 5544246 or 5557518 or 5654746 or 5664016
or 5671280 or 5721781 or 5745886 or 5757919
or 5796824 or 5812662 or 5812980 or 5841869
or 5872847 or 5892900 or 5892902 or 5892904
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or 5944821 or 5949876 or 5953502 or 5958050
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or 6032257 or 6038551).pn.

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US Patent Documents

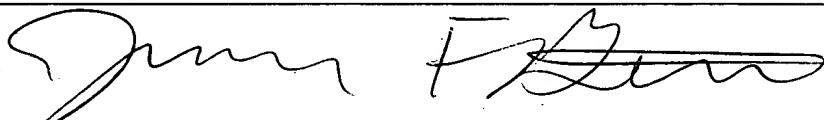
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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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4	5023907	1991-06-11		Johnson et al.			
5	5050213	1991-09-17		Shear			
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7	5276311	1994-01-04		Hennige			
8	5335334	1994-08-02		Takahashi et al.			
9	5410598	1995-04-25		Shear			

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50	6038551	2000-03-01	Barlow et al.

Signature



Examiner Name	Date
Jacob F. Betz	25 Feb 2004